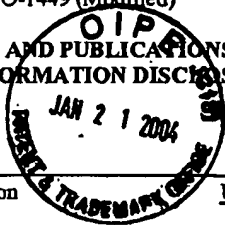


In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**



Serial No.: 10/645,022

Applicants: Kwok Ng et al.

Filing Date: August 21, 2003

Group: 1753

Atty. Docket No.: 12179-P112US

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<u>ADF</u> AAA	6,049,090	4/11/00	Clark, Jr.	257	13	
<u>ADF</u> ABA	5,720,827	2/24/98	Simmons	136	250	
<u>ADF</u> ACA	5,986,206	11/16/99	Kambe et al.	136	263	
<u>ADF</u> ADA	2002/0075126	6/20/02	Reitz et al.	338	21	10/03/01
<u>ADF</u> AEA	6,515,314	2/4/03	Duggal et al.	257	184	
<u>    </u> AFA						
<u>    </u> AGA						
<u>    </u> AHA						
<u>    </u> AIA						
<u>    </u> AJA						
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<u>    </u> AMA						
<u>    </u> ANA						
<u>    </u> AOA						
<u>    </u> APA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
<u>ADF</u> AQA	WO 99/25028	5/20/99	PCT			X
<u>    </u> ARA						
<u>    </u> ASA						
<u>    </u> ATA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

ADF AUA J. Mira et al., "Dynamic Magnetic Behaviour of Interacting  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> Nanoparticles Dispersed in Epoxy Resin," *IEEE Transactions on Magnetics*, Vol. 33, No. 5, September 1997, pp. 3724-3726.

     AVA

     AWA

     AXA

Examiner:

/Anthony Fick/ ADF

Date Considered:

12/22/2006

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ADF AUA Erica H. Tadd et al., "Self-Assembly of Metal Nanoclusters in Block Co-Polymers," *Mat. Res. Soc. Symp. Proc.*, Vol. 703, 2002, Materials Research Society, pp.V2.1.1-V2.1.10.

ADF AVA M. Samy El-Shall, "Synthesis of Semiconductor and Metal Oxide Nanoparticles by Laser Vaporization-Controlled Condensation Techniques," available via the Internet at <http://www.foresight.org/Conferences/MNT6/Abstracts/El-Shall/>, printed 4/18/2002, pp. 1-3.

Examiner: /Anthony Fick/

ADF

Date Considered: 12/22/2006

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12179-P112US 1/5/2005

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Serial No.: 10/645,022

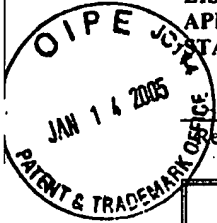
Applicants: Kwok Ng et al.

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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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ADF AUA M. V. Wolkin, "Electronic States and Luminescence in Porous Silicon Quantum Dots: The Role of Oxygen," *Physical Review Letters*, Vol. 82, No. 1, January 4, 1999, pp. 197-200.

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Examiner: /Anthony Fick/

*ADF*

Date Considered: 12/22/2006

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Applicants: Kwok Ng et al.

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Group: To Be Assigned

Atty. Docket No.: 12179-P112US

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						Yes	No
___ AOA							
___ APA							
___ AQA							
___ ARA							

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ADF ASA

Delia J. Milliron et al. "Designed for Charge Transfer: Complexes of CdSE Nanocrystals and Oligothiophenes," Mat. Res. Soc. Symp. Proc. Vol. 725, pp. 177-178.

ADF ATA

V. Aroutiounian et al. "Quantum Dot Solar Cells," 2 pages.

ADF AUA

E. Kymakis et al. "Single-Wall Carbon Nanotube/Conjugated Polymer Photovoltaic Devices," *Applied Physics Letters*, Vol. 80, No. 1, January 7, 2002, pp. 112-114.

Examiner:

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